

On the maximal number of points on singular curves over finite fields

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Bounds for smooth curves

Towards the definition of arithmetic genus

Bounds for singular curves

The quantity $N_q(g, \pi)$

The main theorem

Maximal curves

- \mathbb{F}_q the finite field with q elements.
- With the word “curve” we will always refer to an absolutely irreducible projective algebraic curve.

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Smooth curves over finite fields

Let X be a smooth curve over \mathbb{F}_q . We can associate to X two nonnegative integers:

- $\#X(\mathbb{F}_q)$: the number of rational points on X over \mathbb{F}_q ;
- g : the genus of X .

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The integers q , $\#X(\mathbb{F}_q)$ and g satisfy the **Serre-Weil inequality**:

$$|\#X(\mathbb{F}_q) - (q + 1)| \leq g[2\sqrt{q}]$$

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The integers q , $\#X(\mathbb{F}_q)$ and g satisfy the **Serre-Weil inequality**:

$$|\#X(\mathbb{F}_q) - (q + 1)| \leq g[2\sqrt{q}]$$

Let us denote by

$$N_q(g)$$

the maximal number of rational points over \mathbb{F}_q that a curve of genus g can have. Clearly we have:

$$N_q(g) \leq q + 1 + g[2\sqrt{q}]$$

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... and if X is singular?

If now we remove the hypothesis of smoothness for X , can we still say something about $\#X(\mathbb{F}_q)$?

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... and if X is singular?

If now we remove the hypothesis of smoothness for X , can we still say something about $\#X(\mathbb{F}_q)$?

Yes, but we have to introduce another invariant for X ,

the arithmetic genus π .

To define π we have to recall some local properties of curves.

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Points and local rings

Let X be a curve over \mathbb{F}_q and let $\mathbb{F}_q(X)$ be the function field of X .

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Points and local rings

Let X be a curve over \mathbb{F}_q and let $\mathbb{F}_q(X)$ be the function field of X .
Let Q be a point on X and let us define

$$\mathcal{O}_Q := \{f \in \mathbb{F}_q(X) \mid f \text{ is regular at } Q\}.$$

\mathcal{O}_Q is a local ring with maximal ideal

$$\mathcal{M}_Q := \{f \in \mathcal{O}_Q \mid f \text{ vanishes at } Q\}$$

Moreover we have:

$$[\mathcal{O}_Q/\mathcal{M}_Q : \mathbb{F}_q] = \deg Q.$$

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Moreover we have:

$$[\mathcal{O}_Q/\mathcal{M}_Q : \mathbb{F}_q] = \deg Q.$$

Fact: \mathcal{O}_Q is integrally closed if and only if Q is a nonsingular point.



X is smooth if and only if \mathcal{O}_Q is integrally closed for every Q on X .

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Normalization of a singular curve

Let \tilde{X} be the **normalization** of X , i.e. the smooth curve together with a regular map

$$\nu : \tilde{X} \rightarrow X$$

such that ν is finite and birational.

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In particular X and \tilde{X} have the same function field:

$$\mathbb{F}_q(X) = \mathbb{F}_q(\tilde{X}).$$

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Diagram

Let Q be a point on X and let P_1, \dots, P_n be the points on \tilde{X} such that $\nu(P_i) = Q$ for all $i = 1, \dots, n$.

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\mathcal{O}_Q

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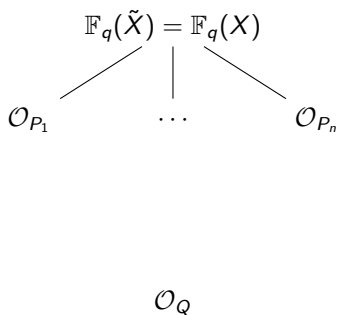
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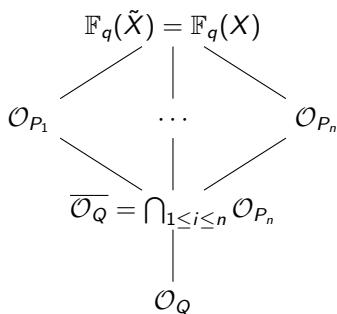
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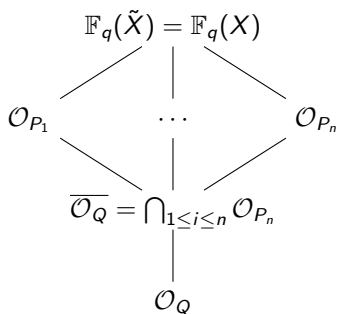
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$\overline{\mathcal{O}}_Q$ is the integral closure of \mathcal{O}_Q .

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The arithmetic genus

$\overline{\mathcal{O}_Q}/\mathcal{O}_Q$ is a finite dimensional \mathbb{F}_q -vectorial space. We set:

$$\delta_Q := \dim_{\mathbb{F}_q} \overline{\mathcal{O}_Q}/\mathcal{O}_Q$$

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$$\delta_Q := \dim_{\mathbb{F}_q} \overline{\mathcal{O}_Q}/\mathcal{O}_Q$$

We can now define the **arithmetic genus** π of a curve X as the integer:

$$\pi := g + \sum_{Q \in \text{Sing } X(\overline{\mathbb{F}_q})} \delta_Q,$$

where g is the genus of the normalization \tilde{X} of X (g is called the **geometric genus** of X).

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where g is the genus of the normalization \tilde{X} of X (g is called the **geometric genus** of X).

- $\pi \geq g$;
- $\pi = g$ if and only if X is a smooth curve;
- If X is a plane curve of degree d , $\pi = \frac{(d-1)(d-2)}{2}$.

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In 1996, Aubry and Perret give the following result on singular curves:

$$|\#\tilde{X}(\mathbb{F}_q) - \#X(\mathbb{F}_q)| \leq \pi - g,$$

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In 1996, Aubry and Perret give the following result on singular curves:

$$|\#\tilde{X}(\mathbb{F}_q) - \#X(\mathbb{F}_q)| \leq \pi - g,$$

from which they obtain directly the equivalent of Serre-Weil bound for singular curves:

$$|\#X(\mathbb{F}_q) - (q + 1)| \leq g[2\sqrt{q}] + \pi - g.$$

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The quantity $N_q(g, \pi)$

We define an analogous quantity of $N_q(g)$ for singular curves:

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The quantity $N_q(g, \pi)$

We define an analogous quantity of $N_q(g)$ for singular curves:

Definition

For q a power of a prime, g and π non negative integers such that $\pi \geq g$, let us define the quantity

$$N_q(g, \pi)$$

as the maximal number of rational points over \mathbb{F}_q that a curve defined over \mathbb{F}_q of geometric genus g and arithmetic genus π can have.

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Obviously we have

$$\begin{aligned} N_q(g, g) &= N_q(g), \\ N_q(g, \pi) &\leq N_q(g) + \pi - g \end{aligned}$$

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Fukasawa, Homma and Kim's curve

In 2011, Fukasawa, Homma and Kim consider and study the rational plane curve B over \mathbb{F}_q defined by the image of

$$\begin{aligned} \Phi : \mathbb{P}^1 &\rightarrow \mathbb{P}^2 \\ (s, t) &\mapsto (s^{q+1}, s^q t + st^q, t^{q+1}) \end{aligned}$$

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Properties of B :

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Properties of B :

- 1 B is a rational curve of degree $q + 1 \Rightarrow g = 0, \pi = \frac{q^2 - q}{2}$;

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- 3 $\#B(\mathbb{F}_q) = q + 1 + \frac{q^2 - q}{2} \Rightarrow \underline{B \text{ attains the Aubry-Perret bound!!}}$

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\Downarrow

$$N(0, \frac{q^2 - q}{2}) = N_q(0) + \frac{q^2 - q}{2}$$

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Question

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Does there exist other different values of g and π for which

$$N_q(g, \pi) = N_q(g) + \pi - g?$$

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Does there exist other different values of g and π for which

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To try to answer this question we need to find some way to construct singular curves with prescribed geometric genus g and arithmetic genus π and "many" rational points.

Singular curves with many points

Theorem

Let X be a smooth curve of genus g defined over \mathbb{F}_q . Let π be an integer of the form

$$\pi = g + a_2 + 2a_3 + 3a_4 + \cdots + (n-1)a_n$$

with $0 \leq a_i \leq B_i(X)$, where $B_i(X)$ is the number of closed points of degree i on the curve X . Then there exists a (singular) curve X' over \mathbb{F}_q of arithmetic genus π such that X is the normalization of X' (so that X' has geometric genus g) and

$$\#X'(\mathbb{F}_q) = \#X(\mathbb{F}_q) + a_2 + a_3 + a_4 + \cdots + a_n.$$

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$$\#X'(\mathbb{F}_q) = \#X(\mathbb{F}_q) + a_2 + a_3 + a_4 + \cdots + a_n.$$

Roughly speaking we can “transform” a nonsingular point of degree d in a singular rational one provided that we increase the value of the arithmetic genus of $d - 1$.

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Sketch of the proof

Without loss of generality we can limit ourselves to the affine case; the general case will follow directly by covering X by affine opens.

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Let us take on the curve X :

- a_2 closed points of degree 2 : $S_2 = \{Q_1^{(2)}, Q_2^{(2)}, \dots, Q_{a_2}^{(2)}\}$;
- a_3 closed points of degree 3 : $S_3 = \{Q_1^{(3)}, Q_2^{(3)}, \dots, Q_{a_3}^{(3)}\}$;
- \vdots
- a_n closed points of degree n : $S_n = \{Q_1^{(n)}, Q_2^{(n)}, \dots, Q_{a_n}^{(n)}\}$;

\Downarrow

$$S := S_2 \cup S_3 \cup \dots \cup S_n.$$

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Let \mathcal{O} be the sheaf of local rings of X . Starting from \mathcal{O} we are going now to define a new sheaf of local rings in the following way:

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Let \mathcal{O} be the sheaf of local rings of X . Starting from \mathcal{O} we are going now to define a new sheaf of local rings in the following way:

- for every $Q \in X - S$ we put $\mathcal{O}'_Q := \mathcal{O}_Q$.
- for every $Q \in S$ we set $\mathcal{O}'_Q := \mathbb{F}_q + \mathcal{M}_Q$;

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In particular for every $Q \in S$ we have:

- \mathcal{O}'_Q is local with maximal ideal \mathcal{M}_Q and

$$[\mathcal{O}'_Q/\mathcal{M}_Q : \mathbb{F}_q] = 1;$$

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Sketch of the proof

Let \mathcal{O} be the sheaf of local rings of X . Starting from \mathcal{O} we are going now to define a new sheaf of local rings in the following way:

- for every $Q \in X - S$ we put $\mathcal{O}'_Q := \mathcal{O}_Q$.
- for every $Q \in S$ we set $\mathcal{O}'_Q := \mathbb{F}_q + \mathcal{M}_Q$;

In particular for every $Q \in S$ we have:

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- \mathcal{O}_Q is the integral closure of \mathcal{O}'_Q ;
- $\mathcal{O}_Q/\mathcal{O}'_Q$ is an \mathbb{F}_q -vectorial space of dimension $\deg Q - 1$.

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$$A' := \bigcap_{Q \in X} \mathcal{O}'_Q.$$

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Let us denote

$$A' := \bigcap_{Q \in X} \mathcal{O}'_Q.$$

A' is a \mathbb{F}_q -algebra of finite type corresponding to an affine irreducible curve X' defined over \mathbb{F}_q .

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By construction we obtain that:

- $\tilde{X}' = X$ so that X' has geometric genus g ;
- $\#X'(\mathbb{F}_q) = \#X(\mathbb{F}_q) + |S| = \#X(\mathbb{F}_q) + a_2 + a_3 + \cdots + a_n$;
- X' has arithmetic genus $\pi = g + a_2 + 2a_3 + 3a_4 + \cdots + (n-1)a_n$.

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- Unfortunately this construction is not explicit;

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- Unfortunately this construction is not explicit;
- this construction corresponds to a glueing of points on the curve obtained from X by extension of the base field to its algebraic closure.

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$$\mathbb{F}_q(X)$$

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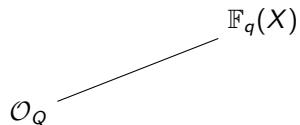
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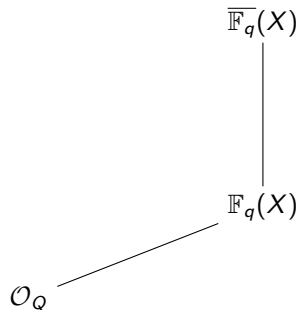
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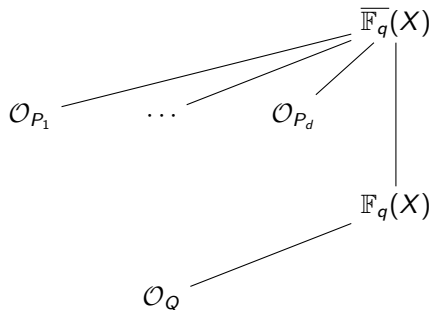
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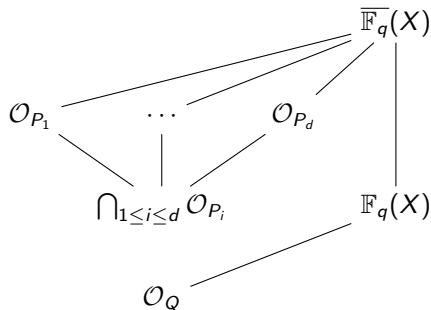
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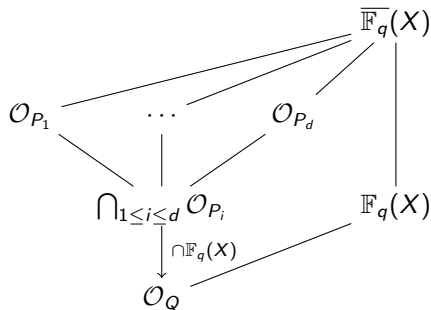
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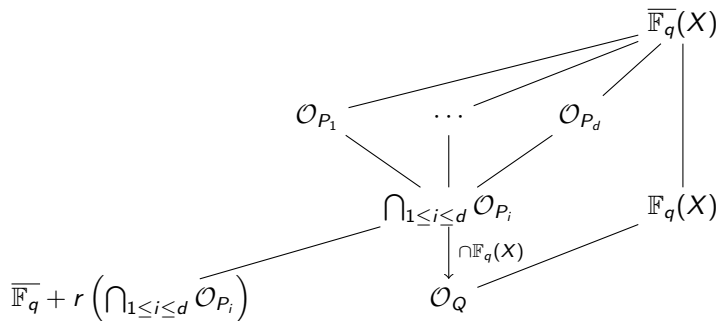
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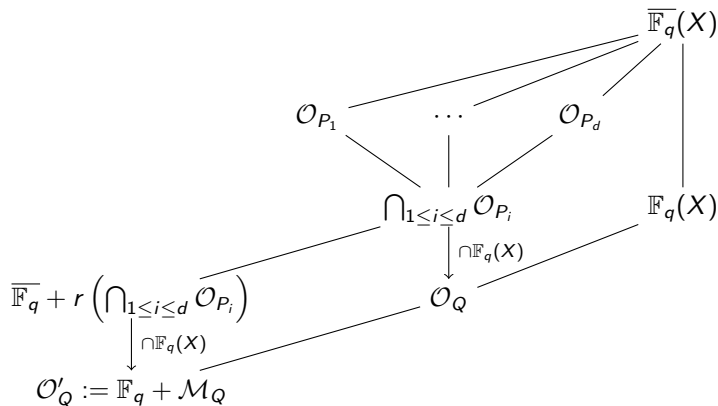
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The case of rational curves

Let start from $X = \mathbb{P}^1$, the projective line, over a finite field \mathbb{F}_q .

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The case of rational curves

Let start from $X = \mathbb{P}^1$, the projective line, over a finite field \mathbb{F}_q .

As

$$B_2(\mathbb{P}^1) = \frac{q^2 - q}{2},$$

we have:

Proposition

For any $\pi \leq \frac{q^2 - q}{2}$, there exists a (singular) rational curve X' over \mathbb{F}_q of arithmetic genus π that attains the Aubry-Perret bound, i.e.

$$\#X(\mathbb{F}_q) = q + 1 + \pi.$$

In other terms we have

$$N_q(0, \pi) = N_q(0) + \pi = q + 1 + \pi.$$

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Definition

A (not necessarily smooth) curve X defined over \mathbb{F}_q is called maximal if

$$\#X(\mathbb{F}_q) = q + 1 + g[2\sqrt{q}] + \pi - g.$$

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Proposition

If X is a maximal curve defined over \mathbb{F}_q with q a square, of geometric genus g and arithmetic genus π , then:

$$2g(\sqrt{q} + q - 1) + 2\pi \leq q^2 - q.$$

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If X is a maximal curve defined over \mathbb{F}_q with q a square, of geometric genus g and arithmetic genus π , then:

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In particular, for a maximal rational curve (and for q not necessarily square), this proposition implies:

$$\pi \leq \frac{q^2 - q}{2}$$

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Proposition

We have

$$N_q(0, \pi) = q + 1 + \pi$$

if and only if $\pi \leq \frac{q^2 - q}{2}$.

With this proposition we completely answer the question when $g = 0$.

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